

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS O DOX 1450 Alexandris, Viginia 22313-1450 www.uppu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/735,627

12/16/2003

Etsuko Asano

740756-2688

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASINGTON, DC 20004-2128

**CONFIRMATION NO. 2662 FORMALITIES LETTER** \*OC000000012458215\*

Date Mailed: 04/27/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/28/2004 CNGUYEN 00000067 192380 10735627

01 FC:1053 ·

130.00 DA

02 FC:1202 288.00 DA 03 FC:1201 860.00 DA FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

# **'tems Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English. translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-9.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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# **FORM**

(to be used for all correspondence after initial filing)

Application Number	10/735,627
Filing Date	December 16, 2003
First Named Inventor	Etsuko ASANO et al.
Group Art Unit	
Confirmation No.	2662
Attorney Docket Number	740756-2688

Total Number of Pages in This Submission	Attorney Docket Number	740756-2688		
ENCLOSURES (check all that apply)				
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Notice to File Missing Parts of Nonprovisional Application Formalities Letter  Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment Papers (for an Application)  Drawing(s)  Declaration and Power of Attorney  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below): (1) Verification of Translation w/English Language Specification and Drawings (2) Substitute Specification w/marked-up copy		
	Remarks  The Commissioner is required or credit any overp above identified docket num	hereby authorized to charge any additional fees payments to Deposit Account No. 19-2380 for the inber.		
SIGNATUI	RE OF APPLICANT, ATTORNEY, O	DR AGENT		
Firm or Individual name  Jeffrey L. Costellia Nixon Peabody LLP 401 9th Street, N.W. Suite 900 Washington, D.C., 2000472128  Date  July 27,2004				
Date July 27, 2004				
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)				
Date		Signature		
	Туре	ed or printed name		

# FOR FY 2003 Retent fees are subject to annual revision. TOTAL AMOUNT OF PAYMENT \$1,278.00

\$1,278.00

Complete if Known				
Application Number	10/735,627			
Filing Date	December 16, 2003			
First Named Inventor	Etsuko ASANO et al.			
Confirmation No.	2662	<u> </u>		
Art Unit				
Attorney Docket No.	740756-2688			

Check   Chec	METHOD OF PAYMENT (check all that apply)				F	EE CALCULATION (continued)	
19-2380 (740756-2688)   19-2		2 ADDITIONAL PRES					
19-2380 (740756-2688)   Code   (5)   Code   (5)   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   30   205   25   Succharge - late filling fee or oath   102   Succharge - late provisional filling fee or oath   102   Succharge - late filling fee or oath   10	Deposit Account:	Large	Entity	Small	Entity		
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Deposit   Account   Nixon Peabody LLP   1053   130   1				ı		Surcharge - late filing fee or oath	
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Charge sets  indicated below, except for the filing fee to the above-identified deposit account.	The Commissioner is authorized to: (check all that apply)	1804	920*	1804	920*		
Second   Period   P	Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*		
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1253   950   2253   475   Extension for reply within third month	Charge fee(s) indicated below, except for the filing fee	1				• •	
1. BASIC FILING FEE   1.460   2254   740   Extension for reply within flourth month   1. BASIC FILING FEE   1.460   330   2401   165   Notice of Appeal   1.600   1.	to the above-identified deposit account.	l		1		• •	
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1403   290   2403   145   Request for oral hearing   1403   2403   2453   665   Petition to revive – unintentional   1503   2450   245	<b>1</b>	1402	330	2402	165	Filing a brief in support of an appeal	
1451   1,510   1451	Code (5) Code (5) Fee Faid	1403	290	2403	145	Request for oral hearing	
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   Fee from   Fee Fro	SUBTOTAL (1) S	1460	130	1460	130	Petitions to the Commissioner	
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Total Claims   36	2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1806	180	1806	180	Submission of Information Disclosure Stmt	
Total Claims 36 - 20** = 16 X \$18 = \$228.00   1809 770   2809 385   Filing a submission after final rejection (37 CFR 1.129(a))   1810 770   2810 385   For each additional invention to be examined (37 CFR 1.129(b))   1801 770   2801 385   Request for Continued Examination (RCE)   1802 900 1802 900   Request for expedited examination of a design application   1802 900 1802 900   Request for expedited examination of a design application   1802 900 1802 900   Request for expedited examination of a design application   1801 770 2810 385   Request for expedited examination of a design application   1802 900 1802 900   Request for expedited examination of a design application   1802 900 1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900   Request for expedited examination of a design application   1802 900		8021	40	8021	40		
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Code (\$) Code (\$)  1202 18 2202 9 Claims in excess of 20  1201 86 2201 43 Independent claims in excess of 3  1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) \$1,148.00  **or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Jeffrey I, Costellia)  Author/Legon/Agent)  Jeffrey I, Costellia)  Other fee (specify)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3)  S240.00  **Complete (if applicable)  Complete (if applicable)  Telephone (202) 585-8000		1802	900	1802	900		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3  1203 290 2203 145 Multiple dependent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) \$\int_{148.00}\$  **or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Jeffrey I, Costellia  Feestration No. Autorngy/Agent)  SUBTOTAL (202) 585-8000		٠.				application	
1201 86 2201 43 Independent claims in excess of 3  1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) \$1,148.00  **or number previously paid, if greater; For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Jeffrey I, Costellia  Authorney/Agent)  SUBTOTAL (3) \$240.00  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3) \$240.00  **Complete (if applicable)  Telephone (202) 585-8000		Other	tee (specii	у)		· · · · · · · · · · · · · · · · · · ·	
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original patent  ** Reissue claims in excess of 20 and over original patent  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Or number previously paid, if greater; For Reissues, see above  **Telephone**  **Telephon							
over original patent SUBTOTAL (2) \$1,148.00  **or number previously paid, if greater, For Reissues, see above  SUBMITTED BY  Name (Print/Type)  Jeffrey I, Costellia  Autorngy/Agent)  Telephone  (202) 585-8000	•						
**or number previously paid, if greater, For Reissues, see above  SUBMITTED BY  Complete (if applicable)  Name (Print/Type)  Jeffrey I, Costellia  Autorney/Agent)  Telephone  (202) 585-8000							
SUBMITTED BY  Name (Print/Type)  Jeffrey L. Costellia  Jeffrey L.							
Name (Print/Type) Jeffrey J. Costellia Jeffrey I. C	**or number previously paid, if greater, For Reissues, see above						
Name (Print/Type) Jettley y Costellia / // (Autorney/Agent) 33,463 Telephone (202) 383-8000	SUBMITTED BY		•			Complete (if applicable)	
Signature Date July 27, 2004					35,483	···	)
	Signature ///	<u>, ,                                   </u>				Date July 27, 2004	



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)
Etsuko ASANO et al.	)
Application No. 10/735,627	) Art Unit:
Filing Date: December 16, 2003	) Confirmation No. 2662
For: AN EVALUATION METHOD USING A TEG,	)
A METHOD OF MANUFACTURING A	)
SEMICONDUCTOR DEVICE HAVING THE	)
TEG, AN ELEMENT SUBSTRATE AND A	)
PANEL HAVING THE TEG, A PROGRAM	)
FOR CONTROLLING DOSAGE AND A	)
COMPUTER-READABLE RECORDING	)
MEDIUM RECORDING THE PROGRAM	) Date: July 27, 2004

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

U.S. Patent and Trademark Office 220 20<sup>th</sup> Street, South Customer Window, Mail Stop Missing Parts Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202 Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application of April 27, 2004, please find enclosed the following:

- English translation of the specification
- Substitute Specification
- Marked-up Version of the Substitute Specification
- Replacement Drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121

The enclosed English translation is an accurate translation of the specification as filed in compliance with 37 CFR 1.52(d). Further enclosed is a Substitute Specification in

compliance with 37 CFR 1.125, including replacement claims commencing on a separate sheet in compliance with 37 CFR 1.75(h) and 1.121. The enclosed marked-up version of the Substitute Specification indicates the changes from the original specification as filed. The Substitute Specification contains no new matter and is being submitted to place the specification in traditional US Patent Application format.

Furthermore, the enclosed replacement drawings have been amended to eliminate any non-English markings in compliance with 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1).

Also enclosed is the required fee of \$1,278.00, which includes the additional claims, the Non-English specification surcharge and extension fees.

Respectfully submitted,

Jeffrey L. Costellia

Registration No. 35,483

NIXON PEABODY LLP 401 9<sup>th</sup> Street, N.W. Suite 900 Washington, DC 20004

(202) 585-8000